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STATEMENT UNDER 37 CFR 3.73(b)				
Applicant/Patent Owner: ALLERGAN, INC.				
Application No./Patent No.: 10/630,587 Filed/Issue Date: JULY 29, 2003				
Entitled: METHOD FOR TREATING PAIN BY PERIPERHAL ADMINISTRATION OF A NEURO	TOXIN			
ALLERGAN, INC , a corporation (Type of Assignee, e.g., corporation,	partnership, university, government agency, etc.)			
states that it is: 1.   the assignee of the entire right, title, and interest; or				
2. an assignee of less than the entire right, title and interest (The extent (by percentage) of its ownership interest is%)				
in the patent application/patent identified above by virtue of either:				
A assignment from the inventor(s) of the patent application/patent identified about in the United States Patent and Trademark Office at Reel, Frame thereof is attached.	ove. The assignment was recorded, or for which a copy			
OR  B. A chain of title from the inventor(s), of the patent application/patent identified about	ove, to the current assignee as follows:			
1. From: K. Roger Aoki et al.  To: Allergan Sales, Inc.  The document was recorded in the United States Patent and Trademark Office at Reel 010729 , Frame 0333 , or for which a copy thereof is attached.  2. From: Allergan Sales, Inc.  To: Allergan, Inc.  The document was recorded in the United States Patent and Trademark Office at				
Reel <u>013897</u> , Frame <u>0053</u> , or for which a copy				
3. From: To: To: The document was recorded in the United States Patent and Trademark Reel, Frame, or for which a copy	Office at y thereof is attached.			
Additional documents in the chain of title are listed on a supplemental sheet.				
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.				
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]				
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.				
latter bells	OCTOBER 7-2006			
Signature	Date			
MATTHEW J. MALETTA	714-246-5185			
Printed or Typed Name	Telephone Number			
VP, Assistant General Counsel & Assist Secretary Title				

This collection of Information is required by 37 CFR 3.73(b). The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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\ssignments on the Web > Patent Query

#### **Patent Assignment Abstract of Title**

NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

'otal Assignments: 2

Inventors: Kei Roger Aoki, Minglel Cui, Stephen W. Jenkins

Title: METHODS FOR TREATING PAIN BY ADMINISTRATION OF A BOTULINUM TOXIN

\ssignment: 1

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: AOKI, KEI ROGER Exec Dt: 04/13/2000

<u>CUI, MINGLEI</u> **Exec Dt:** 04/13/2000 <u>JENKINS, STEPHEN W.</u> **Exec Dt:** 04/13/2000

Assignee: ALLERGAN SALES, INC.

2525 DUPONT DRIVE

IRVINE, CALIFORNIA 92612

Correspondent: ALLERGAN, INC. (T2-7H)

STEPHEN DONOVAN 2525 DUPONT DRIVE IRVINE, CA 92612

\ssignment: 2

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: ALLERGAN SALES, INC. (MERGED INTO ALLERGAN SALES, Exec Dt: 04/01/2003

LLC)

Assignee: ALLERGAN, INC.

2525 DUPONT DRIVE

IRVINE, CALIFORNIA 92612

Correspondent: ALLERGAN, INC.

MARTIN A. VOET 2525 DUPONT DRIVE

IRVINE, CALIFORNIA 92612

Search Results as of: 10/02/2006 11:01 AM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350.

Web interface last modified: July 26, 2006 v.1.10

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## RECORDATION FORM COVER SHEET PATENTS ONLY

	6,46	4,986
	To: The Commissioner of Patents and Trademarks,	
	Please record the attached original document(s) or copy(ies):	6373
1.	Submission Type:	
	<ul> <li>☑ new</li> <li>☐ Correction of PTO error (Reel /frame )</li> <li>☐ Corrective Document (Reel /frame )</li> </ul>	
2.	Conveyance Type:	
	<ul><li>✓ Assignment</li><li>✓ License</li></ul>	
	Merger —	
	Security Agreement  Change of Name	
	Change of Name Other:	
3.	CONVEYING PARTIES	
	Names of Conveying Parties	Date of Conveyance
	1. Kei Roger Aoki	04132000
	2. Minglei Cui	04132000
	3. Stephen W. Jenkins	04132000
	Additional Conveying Parties Attached	
4.	RECEIVING PARTIES	
	Names of Receiving Parties	A CONTRACTOR OF THE CONTRACTOR
	Name Allergan Sales, Inc.	
	Address 1 2525 Dupont Drive	
	Address 2 Irvine, CA 92612	
	Additional Receiving Parties Attached  If document is an assignment and the Receiving Party is not domiciled in the Unit Domestic Representative is attached.	ed States, an appointment of a

5.			
<u> </u>		DOMESTIC REPRESEN	ITATIVE NAME AND ADDRESS
	Name		
	Address 1		
	Address 2		
8.			
•		CORRESPONDEN	ICE NAME AND ADDRESS
	Name	Stephen Donovan	
	Address 1	Allergan, Inc. (T2-7H)	
	Address 2	2525 Dupont Drive	
	Address 3	Irvine, CA 92612	
	Telephone	and Fax Tel: 714 246 4026; Fax: 71	14 246 4249
			at including attachments: 3
9.	Total N	lumber of pages of the conveying documen	rt, including attachments.
10	<u> </u>		www.ppp (-like wat both for same property)
			NUMBER (either; not both for same property)  Patent Number
	Application		
	Application		Patent Number Patent Number
	Application	Number	Patent Number
11	. If this date of	document is being filed with a NEW patent execution of the Assignment by the first in	application, enter the Docket No., Title of the Invention, and ventor:
	Title o	f Patent Application: METHOD FOR TREAT	ING PAIN BY PERIPHERAL ADMINISTRATION OF A NEUROTOXIN
	Docke		17328(AP)
			04132000
12	Total I	Number of Properties Involved:	1
13		e amount (37 CFR §3.41) of \$40.00	
		may be debited from our Deposit Account	t No. 01-0885.
		is enclosed as check no	
14	i. 🛛	The Commissioner is authorized to deduct this document from Deposit Account No.	t any additional fee amounts due in connection with the filing of 01-0885.
To or	the best of iginal docum	my information and belief, all statements nent.	nade herein are true, and any attached copy is a true copy of the
Re	espectfully su	ubmitted,	
	GNATURE	Stylon Dona	Son Date: 4/14/00
T	/PED or PRIM	ITED NAME STEPHEN DONOVAN	REGISTRATION NO. 33,433

#### ASSIGNMENT

WHEREAS we, KEI ROGER AOKI, MINGLEI CUI and STEPHEN W. JENKINS, all of ORANGE COUNTY, CALIFORNIA (hereinafter referred to as ASSIGNOR), have invented and own a certain invention entitled: METHOD FOR TREATING PAIN BY PERIPHERAL ADMINISTRATION OF A NEUROTOXIN, for which application for Letters Patent of the United States has been executed on even date herewith.

WHEREAS: Allergan Sales, Inc., having its principal place of business at 2525 Dupont Drive, Irvine, CA 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalent thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/ We have here	eunto set nand and sear trus
April 13, 2000.	
	Ki Koje Holi
	KEI ROGER AOKI
State of CALIFORNIA ) ) ss:	
County of ORANGE )	Man All I am Associated to the second
satisfactory evidence) to be the person whose	MARY Low Mc Now, Notary Public, nally known to me (or proved to me on the basis of a name is subscribed to the within instrument and me in his/her authorized capacity, and that by his/her hity upon behalf of which the person acted, executed the
WITNESS my hand and official seal.	
MARY LOU MCNOWN Commission # 1229524 Notary Public - California Orange County My Comm. Expires Aug 16, 2003	Mary Lan Me Maller L.
IN WITNESS WHEREOF, I/We have here	eunto set hand and seal this
Apr. 13, 2000.	· · · · · · · · · · · · · · · · · · ·
	July -
	MINGLEI CUI
State of CALIFORNIA ) ) ss: County of ORANGE )	
on APRIL 13 before me, personally appeared MINGLEI CUI, personally keyidence) to be the person whose name is subscribed to be sweated the same in his her auth	MARY Loc Mc Noww Notary Public, news to me (or proved to me on the basis of satisfactory libed to the within instrument and acknowledged to me orized capacity, and that by his/her signature on the f which the person acted, executed the instrument.
WITNESS my hand and official seal.	
MARY LOU MCNOWN Commission # 1229524 Notary Public - California Orange County	Many Lee Mr Masser Notary Public

IN WITNESS WHEREOF, I/We have herei	unto set hand and seal this
April 13, 2000.	Gu/enhine
	STEPHEN W. JENKINS
State of CALIFORNIA ) ) ss:	
County of UKANGE /	
satisfactory evidence) to be the person whose	Tenaife 5. Lord, Notary Public, sonally known to me (or proved to me on the basis of name is subscribed to the within instrument and me in his/her authorized capacity, and that by his/her ty upon behalf of which the person acted, executed the
WITNESS my hand and official seal.	1 1
	Notary Public
JENNIFER S. LORD Commission # 1167049 Notary Public - California Orange County My Comm. Expires Jan 27, 2002	<b>V</b>



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Assignments on the Web > Patent Query

#### **Patent Assignment Details**

NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

**Reel/Frame:** <u>013897/0053</u> **Pages:** 17

Recorded: 04/08/2003

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Total properties: 94

1 Patent #: 6294657 Issue Dt: 09/25/2001 Application #: 08996533 Filing Dt: 12/23/1997

Title: RETINOID INDUCED GENE

2 Patent #: 6440460 Issue Dt: 08/27/2002 Application #: 09117359 Filing Dt: 07/27/1998

Title: PHARMACEUTICAL COMPOSITIONS CONTAINING BUFFERED ORTHO ESTER POLYMERS

3 Patent #: <u>6277846</u> Issue Dt: 08/21/2001 Application #: 09138967 Filing Dt: 08/24/1998

Title: USE OF PLATELET ACTIVATING FACTOR ANTAGONISTS AS ANTI-PRURITIC AGENTS

4 Patent #: 6048873 Issue Dt: 04/11/2000 Application #: 09164949 Filing Dt: 10/01/1998

Title: TETRAHDROQUINOLIN-2-ONE 6 OR 7-YL, TETRAHDROQUINILIN-2-THIONE 6 OR 7-YL PENTADIENOIC

ACID AND RELATED DERIVATIVES HAVING RETINOID-LIKE BIOLOGICAL ACTIVITY

5 Patent #: 6147224 Issue Dt: 11/14/2000 Application #: 09164950 Filing Dt: 10/01/1998

Title: 2,4-PENTADIENOIC ACID DERIVATIVES HAVING SELECTIVE ACTIVITY FOR RETINOID X (RXR)

**RECEPTORS** 

6 Patent #: 6258844 Issue Dt: 07/10/2001 Application #: 09194457 Filing Dt: 11/24/1998

Title: CYCLOPENTANE(ENE)OIC ACID, 2-ALKENYL DERIVATIVES AS THERAPEUTIC AGENTS

7 Patent #: 6194415 Issue Dt: 02/27/2001 Application #: 09225036 Filing Dt: 01/04/1999

Title: METHOD OF USING (2-IMIDAZOLIN-2-YLAMINO) QUINOXOALINES IN TREATING NEURAL INJURY

8 Patent #: 6262117 Issue Dt: 07/17/2001 Application #: 09252318 Filing Dt: 02/18/1999

Title: METHOD AND COMPOSITION FOR TREATING ACNE

9 Patent #: <u>6248354</u> Issue Dt: 06/19/2001 Application #: 09262623 Filing Dt: 03/04/1999

Title: CAPSULE SYSTEM

10 Patent #: 6395878 Issue Dt: 05/28/2002 Application #: 09267423 Filing Dt: 03/12/1999

Title: NUCLEIC ACID ENCODING A HUMAN EP PROSTAGLANDIN RECEPTOR

Title: INHIBITION OF NONINACTIVATING NA CHANNELS OF MAMMALIAN OPTIC NERVE AS A MEANS OF

PREVENTING OPTIC NERVE DEGENERATION ASSOCIATED WITH GLAUCOMA

Title: METHODS AND COMPOSITIONS FOR DRUG DELIVERY

13 Patent #: 6254860 Issue Dt: 07/03/2001 Application #: 09290833 Filing Dt: 04/13/1999

Title: OCULAR TREATMENT USING CYCLOSPORIN-A DERIVATIVES

- - **Title:** OXYGEN, SULFUR AND NITROGEN SUBSTITUTED CYCLOHEXENE AND CYCLOHEXANE DERIVATIVES HAVING RETINOID-LIKE BIOLOGICAL ACTIVITY
- - **Title:** PROCESS FOR PREPARING SUBSTITUTED BENZO[1,2-G]-CHROM-3-ENE, BENZO[1,2-G]-THIOCHROM-3-ENE AND BENZO[1,2-G]-1,2-DIHYDROQUINOLINE DERIVATIVES
- 16 Patent #: 6177588 Issue Dt: 01/23/2001 Application #: 09307073 Filing Dt: 05/07/1999
  - Title: 1- ALKOXY AND 1-ACYLOXY SUBSTITUTED CYCLOHEX-1-ENE COMPOUNDS AND SULFUR AND 1-ALKOXYCARBONYL ANALOGS HAVING RETINOID-LIKE BIOLOGICAL ACTIVITY
- 17 Patent #: 6166012 Issue Dt: 12/26/2000 Application #: 09365291 Filing Dt: 07/30/1999
  - Title: ANTIBIOTIC COMPOSITIONS AND METHOD FOR USING SAME
- - **Title:** AMINES SUBSTITUTED WITH A DIHYDRO-BENZOFURANYL OR WITH A DIHYDRO-ISOBENZOFURANYL GROUP, AN ARYL OR HETEROARYL GROUP AND AN ALKYL GROUP, HAVING RETINOID-LIKE BIOLOGICAL ACTIVITY
- - Title: AMINES SUBSTITUTED WITH A TETRAHYDROQUINOLINYL GROUP AN ARYL OR HETEROARYL GROUP AND AN ALKYL GROUP, HAVING RETINOID-LIKE BIOLOGICAL ACTIVITY
- - Title: PRESERVED CYCLODEXTRIN-CONTAINING COMPOSITIONS
- - Title: METHODS FOR TREATING PAIN
- 22 Patent #: 6265379 Issue Dt: 07/24/2001 Application #: 09418192 Filing Dt: 10/13/1999
  - Title: METHOD FOR TREATING OTIC DISORDERS
- - Title: COMBINATIONS OF PROSTAGLANDINS AND BRIMONIDINE OR DERIVATIVES THEREOF
- **24** Patent #: <u>6380261</u> Issue Dt: 04/30/2002 Application #: 09445832 Filing Dt: 12/13/1999
  - Title: CALCIUM BLOCKERS TO TREAT PROLIFERATIVE VITREORETINOPATHY
- - Title: METHOD FOR TREATING CANCER WITH A NEUROTOXIN
- - Title: USE OF RETINOID RECEPTOR ANTAGONISTS IN THE TREATMENT OF CARTILAGE AND BONE PATHOLOGIES
  - PATROLOGICS
- - Title: METHODS FOR TREATING PANCREATIC DISORDERS
- - Title: Methods for treating diabetes
- - **Title:** Process for preparing substituted benzo[1,2-G]-chrome-3-ene, benzo[1,2-G]-thiochrom-3-ene and benzo[1,2-G]-1,2-dihydroguinoline derivatives
- Denzo[1,2-0]-1,2-umyuroqumoime denvatives
- - Title: Ocular hypotensive lipids

31	Patent #: 6524580 Title: Method for tre	Issue Dt: 02/25/2003 eating thyroid disorders	<b>Application #:</b> 09504538	Filing Dt: 02/15/2000
32	Patent #: 6358513  Title: Method for tre	Issue Dt: 03/19/2002 eating hashimoto's thyroiditi	<b>Application #:</b> 09512110	Filing Dt: 02/24/2000
33	Patent #: 6450994 Title: STORAGE AND	Issue Dt: 09/17/2002 D DELIVERY OF MULTI-DOSE	<b>Application #:</b> 09526052 E, PRESERVATIVE-FREE PHARMAC	Filing Dt: 03/15/2000 EUTICALS
34	Patent #: 6403638 Title: 2,4-Pentadien	<b>Issue Dt:</b> 06/11/2002 oic acid derivatives having s	<b>Application #:</b> 09532677 selective activity for retinoid x (rx)	Filing Dt: 03/21/2000 r) receptors
35	Patent #: 6303648  Title: Methods and o	<b>Issue Dt:</b> 10/16/2001 compositions for the treatme	<b>Application #:</b> 09548897 ent and prevention of lung disease	Filing Dt: 04/13/2000
36	Patent #: 6492414  Title: METHODS AN	Issue Dt: 12/10/2002 D COMPOSITIONS FOR THE	<b>Application #:</b> 09549226 TREATMENT AND PREVENTION O	Filing Dt: 04/13/2000 F LUNG DISEASE
37	Patent #: 6464986 Title: METHODS FOR	<b>Issue Dt:</b> 10/15/2002 R TREATING PAIN BY ADMIN	<b>Application #:</b> 09550371 SISTRATION OF A BOTULINUM TO	<b>Filing Dt:</b> 04/14/2000 XIN
38	Patent #: 6235289  Title: Intraspinal me	Issue Dt: 05/22/2001 ethods for treating pain	<b>Application #:</b> 09578097	Filing Dt: 05/25/2000
39	Patent #: 6333037  Title: Methods for tr	Issue Dt: 12/25/2001 eating pain with a modified	Application #: 09578181 neurotoxin	Filing Dt: 05/25/2000
40	Patent #: 6306426 Title: Implant device	<b>Issue Dt:</b> 10/23/2001 with a retinoid for improve	<b>Application #:</b> 09587485 and biocompatibility	Filing Dt: 06/05/2000
41	Patent #: 6452032 Title: ORGANOSILYL	Issue Dt: 09/17/2002 COMPOUNDS HAVING NUC	<b>Application #:</b> 09591042 CLEAR HORMONE RECEPTOR MOD	Filing Dt: 06/09/2000 ULATING ACTIVITY
42	Patent #: 6521641 Title: MALE ANTI-FE	Issue Dt: 02/18/2003 RTILITY AGENTS	Application #: 09591253	Filing Dt: 06/09/2000
43	Patent #: 6261572 Title: Method for tre	<b>Issue Dt:</b> 07/17/2001 ating a pancreatic disorder	<b>Application #:</b> 09629748 with a neurotoxin	Filing Dt: 07/31/2000
44	Patent #: 6350455 Title: Method for tre	<b>Issue Dt:</b> 02/26/2002 ating a catecholamine secre	Application #: 09631029	Filing Dt: 08/02/2000
45	Patent #: 6368605 Title: Method for tre	<b>Issue Dt:</b> 04/09/2002 ating cancer with a neuroto:	<b>Application #:</b> 09631030 xin to improve patient function	Filing Dt: 08/02/2000
46			Application #: 09634726 CYCLOHEX-1-ENE COMPOUNDS INOID-LIKE BIOLOGICAL ACTIVI	
47		Issue Dt: 10/15/2002 FUR AND NITROGEN SUBSTI OID-LIKE BIOLOGICAL ACT	Application #: 09634727 ITUTED CYCLOHEXENE AND CYCL IVITY	Filing Dt: 08/04/2000 OHEXANE DERIVATIVES
48	Patent #: 6291677 Title: Compounds ha	Issue Dt: 09/18/2001 ving activity as inhibitors of	<b>Application #:</b> 09651001 f cytochrome P450RAI	Filing Dt: 08/29/2000
49	Patent #: 6303785 Title: Compounds ha	Issue Dt: 10/16/2001 ving activity as inhibitors of	<b>Application #:</b> 09651003 cytochrome P450RAI	Filing Dt: 08/29/2000

- - Title: Compounds having activity as inhibitors of cytochrome P450RAI
- 51 Patent #: 6387951 Issue Dt: 05/14/2002 Application #: 09651234 Filing Dt: 08/29/2000
  - Title: COMPOUNDS HAVING ACTIVITY AS INHIBITORS OF CYTOCHROME P450RAI
- - Title: COMPOUNDS HAVING ACTIVITY AS INHIBITORS OF CYTOCHROME P450RAI
- - Title: Compounds having activity as inhibitors of Cytochrome P450RAI
- - Title: Method of using (2-imidazolin-2-ylamino) quinoxalines in treating ocular neural injury
- - Title: Cyclopentane 1-hydroxy alkyl or alkenyl-2-one or 2-hydroxy derivatives as therapeutic agents
- - **Title:** Cyclopentane heptan(ENE)oic acid, 2-thiocarbamoyloxy and 2-carbamoyloxy compounds as therapeutic agents
- - **Title:** Methods of providing and using compounds having activity as inhibitors of cytochrome P450RAI
- - Title: METHODS FOR TREATING MUSCLE INJURIES
- - **Title:** Compounds and method of treatment having agonist-like activity selective at alpha 2B or 2B/2C adrenergic receptors
- 60 Patent #: 6492361 Issue Dt: 12/10/2002 Application #: 09680810 Filing Dt: 10/05/2000
  - Title: ANTIBIOTIC COMPOSITIONS AND METHODS FOR USING SAME
- 61 Patent #: 6376533 Issue Dt: 04/23/2002 Application #: 09693722 Filing Dt: 10/20/2000
  - Title: Omega-cycloalkyl 17-heteroaryl prostaglandin E2 analogs as EP2-receptor agonists
- 62 Patent #: 6328977 Issue Dt: 12/11/2001 Application #: 09704440 Filing Dt: 11/01/2000
  - Title: Method for treating hyperparathyroidism
- 63 Patent #: 6319506 Issue Dt: 11/20/2001 Application #: 09704441 Filing Dt: 11/01/2000
  - Title: Method for treating hypercalcemia
- 64 Patent #: 6447785 Issue Dt: 09/10/2002 Application #: 09706174 Filing Dt: 11/02/2000
  - Title: METHOD FOR TREATING HYPERCALCEMIA
- 65 Patent #: 6533764 Issue Dt: 03/18/2003 Application #: 09707006 Filing Dt: 11/06/2000
  - Title: TWIST HOUSING APPARATUS FOR INSTILLING A MEDICATION INTO AN EYE
- 66 Patent #: 6534542 Issue Dt: 03/18/2003 Application #: 09794874 Filing Dt: 02/27/2001
  - Publication #: <u>US20020161051</u> Pub Dt: 10/31/2002
    - Title: (2-HYDROXY)ETHYL-THIOUREAS USEFUL AS MODULATORS OF ALPHA2B ADRENERGIC RECEPTORS
- 67 Patent #: 6372226 Issue Dt: 04/16/2002 Application #: 09797556 Filing Dt: 03/01/2001
  - **Publication #:** US20010012828 **Pub Dt:** 08/09/2001
    - Title: Intraspinal botulinum toxin for treating pain

68 Patent #: 6387950 Issue Dt: 05/14/2002 Application #: 09824111 Filing Dt: 04/02/2001

Publication #: <u>US20010039293</u> Pub Dt: 11/08/2001

Title: TREATMENT OF TUMORS WITH RARALPHA SELECTIVE RETINOID COMPOUNDS IN COMBINATION

WITH OTHER ANTI-TUMOR AGENTS

69 Patent #: 6399774 Issue Dt: 06/04/2002 Application #: 09829097 Filing Dt: 04/09/2001

Title: COMPOUNDS HAVING ACTIVITY AS INHIBITORS OF CYTOCHROME P450RAI

70 Patent #: 6359135 Issue Dt: 03/19/2002 Application #: 09829098 Filing Dt: 04/09/2001

Title: Compounds having activity as inhibitors of cytochrome P450RAI

71 Patent #: 6521613 Issue Dt: 02/18/2003 Application #: 09829641 Filing Dt: 04/10/2001

**Publication #:** US20020193449 **Pub Dt:** 12/19/2002

Title: LACTAM COMPOUNDS FOR PENETRATION ENHANCEMENT

Title: Interheteroaryl 7-Oxabicyclic [2.2.1]heptane oxazoles as prostaglandin F2alpha antagonists

73 Patent #: 6410544 Issue Dt: 06/25/2002 Application #: 09842299 Filing Dt: 04/25/2001

Title: CHOLINERGIC AGENTS IN THE TREATMENT OF PRESBYOPIA

Title: Cyclopentane, 1-hydroxy alkyl or alkenyl-2-one or 2-hydroxy derivatives as therapeutic agents

75 Patent #: 6410591 Issue Dt: 06/25/2002 Application #: 09851296 Filing Dt: 05/08/2001

Title: 3,7 OR 3 AND 7 THIA OR OXA PROSTANOIC ACID DERIVATIVES AS AGENTS FOR LOWERING

INTRAOCULAR PRESSURE

76 Patent #: 6531504 Issue Dt: 03/11/2003 Application #: 09859770 Filing Dt: 05/17/2001

Publication #: US20020177620 Pub Dt: 11/28/2002

Title: PROSTANOIC ACID DERIVATIVES AS AGENTS FOR LOWERING INTRAOCULAR PRESSURE

77 Patent #: 6358926 Issue Dt: 03/19/2002 Application #: 09864447 Filing Dt: 05/24/2001

**Publication #:** <u>US20010025024</u> **Pub Dt:** 09/27/2001

Title: NEUROTOXIN THERAPY FOR INNER EAR DISORDERS

78 Patent #: 6350442 Issue Dt: 02/26/2002 Application #: 09870256 Filing Dt: 05/30/2001

Publication #: <u>US20010036449</u> Pub Dt: 11/01/2001

Title: Ocular treatment using cyclosporin-A derivatives

Title: METHODS OF PROVIDING AND USING COMPOUNDS HAVING ACTIVITY AS INHIBITORS OF

CYTOCHROME P450RAI

80 Patent #: 6380250 Issue Dt: 04/30/2002 Application #: 09876808 Filing Dt: 06/06/2001

Publication #: <u>US20020058692</u> Pub Dt: 05/16/2002

Title: CYCLOPENTANE HEPTAN(ENE)OIC ACID, 2-THIOCARBAMOYLOXY AND 2-CARBAMOYLOXY

COMPOUNDS AS THERAPEUTIC AGENTS

81 Patent #: 6476064 Issue Dt: 11/05/2002 Application #: 09880272 Filing Dt: 06/13/2001

Title: CYCLOPENTANE HEPTAN(ENE) ACYL SULFONAMIDE, 2-ALKYL OR 2-ARYLALKYL, OR 2-

HETEROARYLALKENYL DERIVATIVES AS THERAPEUTIC AGENTS

Title: CYCLOPENTANE HEPTAN(ENE)OIC ACID, 2-THIOCARBAMOYLOXY AND 2-CARBAMOYLOXY COMPOUNDS

AS THERAPEUTIC AGENTS

Title: Cyclopentane 1-hydroxy alkyl or alkenyl-2-one or 2-hydroxy derivatives as therapeutic agents

84 Patent #: 6500436 Issue Dt: 12/31/2002 Application #: 09922093 Filing Dt: 08/03/2001

Publication #: US20020037833 Pub Dt: 03/28/2002

Title: CLOSTRIDIAL TOXIN DERIVATIVES AND METHODS FOR TREATING PAIN

**85** Patent #: <u>6455062</u> Issue Dt: 09/24/2002 Application #: 09935360 Filing Dt: 08/21/2001

Title: IMPLANT DEVICE WITH A RETINOID FOR IMPROVED BIOCOMPATIBILITY

86 Patent #: 6420402 Issue Dt: 07/16/2002 Application #: 09935554 Filing Dt: 08/21/2001

Title: INHIBITION OF NONINACTIVATING NA CHANNELS OF MAMMALIAN OPTIC NERVE AS A MEANS OF

PREVENTING OPTIC NERVE DEGENERATION ASSOCIATED WITH GLAUCOMA

87 Patent #: 6426358 Issue Dt: 07/30/2002 Application #: 09952733 Filing Dt: 09/14/2001

Publication #: <u>US20020040042</u> Pub Dt: 04/04/2002

Title: INHIBITION OF NONINACTIVATING NA CHANNELS OF MAMMALIAN OPTIC NERVE AS A MEANS OF

PREVENTING OPTIC NERVE DEGENERATION ASSOCIATED WITH GLAUCOMA

88 Patent #: 6495552 Issue Dt: 12/17/2002 Application #: 09963318 Filing Dt: 06/07/2001

Publication #: <u>US20020132796</u> Pub Dt: 09/19/2002

Title: METHODS OF PROVIDING AND USING COMPOUNDS HAVING ACTIVITY AS INHIBITORS OF

CYTOCHROME P450RAI

Title: BENZOFURAN, INDOLE OR BENZOTHIOPHENE 2,4-PENTADIENOIC ACID DERIVATIVES HAVING

SELECTIVE ACTIVITY FOR RETINOID X (RXR) RECEPTORS

90 Patent #: 6416765 Issue Dt: 07/09/2002 Application #: 09972702 Filing Dt: 10/03/2001

**Publication #:** <u>US20020031529</u> **Pub Dt:** 03/14/2002

Title: NEUROTOXIN THERAPY FOR DIABETES

91 Patent #: 6537568 Issue Dt: 03/25/2003 Application #: 09982219 Filing Dt: 10/17/2001

Publication #: US20020022047 Pub Dt: 02/21/2002

Title: IMPLANT DEVICE WITH A RETINOID FOR IMPROVED BIOCOMPATIBILITY

92 Patent #: 6531599 Issue Dt: 03/11/2003 Application #: 10004914 Filing Dt: 12/05/2001

Publication #: US20020193578 Pub Dt: 12/19/2002

Title: COMPOUNDS HAVING ACTIVITY AS INHIBITORS OF CYTOCHROME P450RAI

Title: TARGETING THE REVERSE MODE OF THE NA+/CA2+ EXCHANGER FOR THE TREATMENT OF OPTIC

NEUROPATHY ASSOCIATED WITH GLAUCOMA AND ISCHEMIC OPTIC NEUROPATHY

94 Patent #: 6511999 Issue Dt: 01/28/2003 Application #: 10071449 Filing Dt: 02/08/2002

Publication #: US20020128233 Pub Dt: 09/12/2002

Title: INTERHETEROARYL 7-OXABICYCLIC [2.2.1]HEPTANE OXAZOLES AS PROSTAGLANDIN F2ALPHA

**ANTAGONISTS** 

#### Assignor

1 ALLERGAN SALES, INC. (MERGED INTO ALLERGAN SALES, LLC) Exec Dt: 04/01/2003

#### ∖ssignee

1 ALLERGAN, INC.

2525 DUPONT DRIVE

IRVINE, CALIFORNIA 92612

#### **Correspondence name and address**

ALLERGAN, INC.
MARTIN A. VOET
2525 DUPONT DRIVE
IRVINE, CALIFORNIA 92612

Search Results as of: 10/02/2006 11:00 AM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350.

Web interface last modified: July 26, 2006 v.1.10

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Rec'd in USPTO/PST Office. Date Stamp Date: April, 2003 Se	rial No.: 113 - See attached
Title: 113	Appendix (3 pages)
Dkt. No.: 118 Enclosed Are:	
_ Specification #, Claims #,	_ Declaration, Power of Attorney
and Abstract # Drawings ( sheets)	X Assignment & Cover Sheet (covering II) applications) Amendment (Final) (# pgs)
Formal Informal	_ Certificate of Mailing
Info. Disc. Statement	_ Issue Fee Transmittal
_ Priority Documents #	_ Transmittal Letter
_ PTO 1449 W/References	_ Extension of Time
PCT Request (# pgs)	_ Express Mail No
PCT Demand (# pgs)	(Assignment for pending
PCT Response (# pgs)	Allergan Sales, Inc. applications to ALLERGAN, INC.)
_ PCT Amendment (# pgs)	Certif. Under 37 CFR 1.10





## RECORDATION FORM COVER SHEET PATENTS ONLY

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Name				
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Ad	dress 2			
<u> </u>				
	CORRESPONDENCE NAME AND ADDRESS			
Name Martin A. Voet (T2-7H)				
Ac	dress 1 Allergan, Inc.			
-	dress 2 2525 Dupont Drive, Irvine, CA 92612			
	lephone 714-246-5894 and Fax 714-246-4249			
	Total Number of pages of the conveying document, including attachments: 17 pages			
·	APPLICATION NUMBER OR PATENT NUMBER (either; not both for same property)			
_	oplication Number see attached Appendix A (3 pages)  Patent Number			
	pplication Number Patent Number			
), late	If this document is being filed with a NEW patent application, enter the Docket No., Title of the Invention, of execution of the Assignment by the first inventor:  Title of Patent Application:  Docket No.:  Date of Execution by First Inventor:			
10.	Total Number of Properties Involved: 111			
11.	The fee amount (37 CFR §3.41) of \$ 4.440			
	may be debited from our Deposit Account No. 01-0885. is enclosed as check no			
12.	X The Commissioner is authorized to deduct any additional fee amounts due in connection with the this document from Deposit Account No. 01-0885.			
To t orig	ne best of my information and belief, all statements made herein are true, and any attach <b>ed copy is a true c</b> on In al document.	ру (		
Res	pectfully submitted,			
	NATURE Date: 4/2/203			
TYP	ED or PRINTED NAME: Martin A. Voet. REGISTRATION NO. 25,208			
I HE	CERTIFICATE OF MAILING REBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SEF H SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX ASSIGNMENT,	RVIC		
വ	MMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 ON (Lynd 2 2003 (Date) ne of person making deposit: Mary Lou McNown			

#### ASSIGNMENT

WHEREAS: ALLERGAN, INC., a Delaware corporation, having its principal place of business at 2525 Dupont Drive, Irvine, California 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire right, title and interest in, to and under certain inventions and in, to and under corresponding Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

WHEREAS: On June 3, 2002, ALLERGAN SALES, INC., a California corporation, was merged into ALLERGAN SALES, LLC, a Delaware limited liability company pursuant to the "Agreement and Plan of Merger" filed with the Secretary of State of the State of California and with the Secretary of State of the State of Delaware (copy attached).

WHEREAS: ALLERGAN SALES, LLC, having its principal place of business at 2525 Dupont Drive, Irvine, California 92612 (hereinafter ASSIGNOR) by virtue of the abovementioned merger owns the entire right, title and interest in, to and under certain inventions, corresponding U.S. patent applications and foreign rights directed thereto.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire right, title and interest in, to and under certain inventions in the Untied States and its territorial possessions and in all foreign countries to all Letters Patents or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for certain inventions by certain applications set forth in Appendix "A" and any continuation, divisional, renewal, substitute or reissue thereof for the full term or

terms for which the same may be granted; said sale, transfer and assignment effective June 3, 2002.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this 31 day of March 2003.

ALLERGAN SALES, LLC

Bv:

Martin A. Voet

Assistant Secretary

State of <u>CALIFORNIA</u> ( ss County of <u>ORANGE</u>)

On March 31, 2003, before me, Mary Lou McNown, notary public, personally appeared MARTIN A. VOET personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Many Lew Mc Mown
Signature of Notary Public

#### APPENDIX "A" (Page 1)

SERIAL NUMBER	INVENTORS	ALLERGAN NO.
10/104,899	Herbert K. Graham	16897-CIP
10/104,333	Aoki; et al.	16952-CON-DIV5-CIP
10/365,082	Aoki; et al.	16952-CON-DIV5-CIP-
10/365,002	AONI, CC QI.	CON (BOT)
10/108,714	Regan; et al.	17023-DIV-CIP-CON
09/903,954	Michael E. Garst	17095-FWC-CIP-CON
09/903,954	Teng; et al.	17170-DIV2
10/017,660	Joseph S. Adorante	17219-CIP-CON3
10/01/,800	Joseph S. Adorante	17219-CIP-CON4
09/367,712	John Sefton	17224
09/264,531	John Sefton	17235
not assigned	Olejnik; et al	17237-CON2-CIP-CON3
09/329,752	Chow; et al.	17243-CIP2
09/815,362	Chow; et al.	17243-CIP3
09/108,298		17253
09/294,980	Dolly: et al.	17259
09/254/500	(only the portion assign	ed by Roger Aoki)
09/989,295	Beck; et al.	17273-CON
09/760,133		17278-CON
09/288,326	Sachs; et al.	17282
09/548,409	Sachs; et al.	17282-CIP
10/304,665	Klein; et al.	17276-CIP-CON
09/919,195	Massaro; et al.	17293-DIV
	(only the portion assign	ned by Chandraratna)
10/305,049	Massaro; et al.	17294-CON
	(only the portion assign	ned by Chandraratna)
09/548,896	Chandraratna; et al.	17295
	(only the portion assign	ned by Chandraratha)
09/624,129	Muller; et al.	
09/838,772	Cheetham; et al.	17300-CIP2
10/236,712	Muller; et al.	17300-CIP-CON
10/194,834	Muller; et al.	17301-DIV2
09/590,447	Forman; et al.	17302
	(only that portion assignment)	gned by
	Beard and Chandraratna)	45004
09/621,179	Chandraratna; et al.	17304
09/371,354	Stephen Donovan	17310
10/114,740	Gregory F. Brooks	17310-CIP
09/648,692	Dolly; et al.	17311
09/500,147	Terrence J. Hunt	17319
10/047,058	Terrence J. Hunt	17319-CIP
10/360,098	Terrence J. Hunt	17319-CIP-CIP

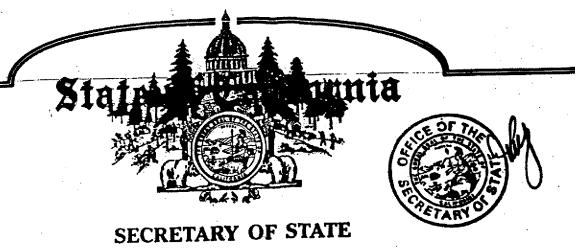
#### APPENDIX "A" (Page 2)

SERIAL NUMBER	INVENTORS	ALLERGAN NO.
10/135,595	Vasudevan; et al.	17321
10/133,335	Evan B. Dreyer	17322-CON
09/692,811	Stephen Donovan	17324
09/810,601	Stephen Donovan	17324-CIP
10/071,826	Donovan; et al.	17326-CIP2
09/552,823	Pacifici; et al.	17327-CIP
10/199,222	Aoki; et al.	17328-CON
09/489,667	Stephen Donovan	17329
09/938,112	Stephen Donovan	17329-DIV
09/625,098	Stephen Donovan	17329-CIP
10/039,520	Beard; et al.	17331-REF
09/533,680	Beard; et al.	17331
09/706,211	Stephen Donovan	17341-DIV
09/706,173	Stephen Donovan	17341-DIV2
09/706,172	Stephen Donovan	17341-DIV3
09/706,215	Stephen Donovan	17341-DIV5
10/017,834	Voet; et al.	17341-CIP2
10/099,238	Voet; et al.	17341-CIP3
09/704,464	Stephen Donovan	17342-DIV2
09/835,949	Stephen Donovan	17342-CON
09/971,869	Stephen Donovan	17342-DIV-CON
09/815,156	Klein; et al.	17346
09/850,835	Kusari; et al.	17347
09/548,315	Chow; et al.	17351
09/778,975	Chow; et al.	17351-CIP
09/561,106	Stephen Donovan	17354
09/904,018	Olejnik; et al.	17361
10/236,566	Olejnik; et al.	17361-CON
10/299,386	Olejnik; et al.	17361-DIV
10/146,224	Old; et al.	17366
10/300,492	Burk; et al.	17373-CON-CIP-CON
10/004,230	Steward; et al.	17376
09/640,852	Nehme; et al.	17377
09/651,235	Vasudevan; et al.	17379
10/079,993	Vasudevan; et al.	17382-DIV
10/364,225	Vasudevan; et al.	17382-DIV2
10/097,368	Vasudevan; et al.	17383-DIV
10/097,315	Vasudevan; et al.	17383-DIV2
10/212,533	Vasudevan; et al.	17386-DIV3
10/104,433	Burk; et al.	17390-CIP
09/847,935	Woodward; et al.	17392
10/155,925	Brooks; et al.	17396-CON
09/751,053	Gil; et al.	17399

#### APPENDIX "A' (Page 3)

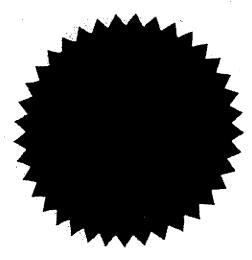
SERIAL NUMBER	INVENTORS	ALLERGAN NO.
	, '	· · · · · · · · · · · · · · · · · · ·
10/020,541	Wheeler; et al.	17400
09/998,718	Burke; et al.	17400-CIP
09/726,949	Lin; et al.	17408
10/051,952	Patricia S. Wälker	17409-CIP
10/081,126	Gerald W. DeVries	17413
09/848,249	Woodward; et al.	17415
09/848,159	Yuan; et al.	17416
10/131,848	Huth; et al.	17421
09/814,604	Klein; et al.	17425
09/922,226	Zhao; et al.	17432
10/121,076	Robert T. Lyons	17433
09/882,720	Burk; et al.	17437
10/103,301	Burk; et al.	17437-CIP
10/346,828	Burk; et al.	17437-CON
10/294,521	Burk; et al.	17438-DIV
09/956,470	Liang; et al.	17440-CIP
09/918,847	Joshi; et al.	17442
09/904,753	Robert T. Lyons	17445
09/893,159	Woodward; et al.	17446
09/942,098	Steward; et al.	17451
09/942,024	Steward; et al.	17452
10/104,385	Forman; et al.	17453-CIP
09/954,610	Martin A. Voet	17455
10/143,076	Lam; et al.	17456
10/017,817	Chang; et al.	17462
10/016,850	Hughes; et al.	17468
10/016,036	David; et al.	17476
	(only that portion as	signed
	by Robert David)	17485
10/100,638	Vasudevan; et al.	
10/082,691	Stephen Donovan	17486
10/133,094	Stanley W. Huth	17487
10/099,239	Martin A. Voet	17489
10/099,602	Lisa D. Hanin	17493
10/143,078	Stephen Donovan	17500

Mergen



I, BILL JONES, Secretary of State of the State of California, hereby certify:

That the attached transcript of \_\_\_\_\_ page(s) has been compared with the record on file in this office, of which it purports to be a copy, and that it is full, true and correct.



IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of

JUN 18 2002

Billynes

Secretary of State

D0678567

### AGREEMENT AND PLAN OF MERGER

ENDORSED - FILED in the office of the Secretary of State of the State of California.

JUN - 3 2002

BELL JOYER Secretary of State

BETWEEN

ALLERGAN SALES, INC. (a California corporation)

AND

## ALLERGAN SALES, LLC (a Delaware limited liability company)

THIS AGREEMENT AND PLAN OF MERGER is made as of June 3, 2002 (this "Agreement of Merger"), by and between Allergan Sales, Inc., a California corporation (the "Corporation"), and Allergan Sales, LLC, a Delaware limited liability company (the "LLC", and collectively with the Corporation the "Constituent Companies").

WHEREAS, the Corporation was incorporated by the filing of Articles of Incorporation with the Secretary of State of the State of California on March 20, 1980; and

WHEREAS, the LLC was formed by the filing of a Certificate of Formation with the Secretary of State of the State of Delaware on February 25, 2002, and Allergan, Inc., a Delaware corporation and the sole member of the LLC (the "Member"), has entered into a Limited Liability Company Agreement dated as of February 25, 2002 (the "Operating Agreement");

### NOW, THEREFORE, the parties hereby agree as follows:

- 1. Upon the terms and subject to the conditions hereof and in accordance with the California General Corporation Law (the "CGCL") and the Delaware Limited Liability Company Act (the "DLLCA"), the Corporation shall be merged with and into the LLC (the "Merger") at the Effective Time (as hereinafter defined). Following the Merger, the separate existence of the Corporation shall cease, and the LLC shall continue as the surviving entity (the "Surviving Entity") and shall succeed to and assume all of the rights and obligations of the Corporation in accordance with the CGCL and the DLLCA.
- 2. The parties hereto shall cause the Merger to be consummated by filing this Agreement of Merger, along with a Certificate of Merger, with the Secretary of State of the State of California pursuant to Section 1113 of the CGCL, and by filing a Certificate of Merger (the "Certificate of Merger") with respect thereto with the Secretary of State of the State of Delaware pursuant to Section 18-209 of the DLLCA. When used in this Agreement of Merger, the term "Effective Date" shall mean the date of filing of the Certificate of Merger with the Secretary of State of the State of Delaware.
- 3. The Merger shall have the effects set forth in Section 1113(i) of the CGCL and Section 18-209(g) of the DLLCA. Without limiting the generality of the foregoing, and subject thereto, at the Effective Time, except as otherwise provided herein, all of the property,

rights, privileges, powers and franchises of the Corporation and the LLC shall rest in the Surviving Entity, and all debts, liabilities and duties of the Corporation and the LLC shall become the debts, liabilities and duties of the Surviving Entity.

- 4. As of the Effective Time, by virtue of the Merger and without any action on the part of the Member of the LLC, or the shareholders or the Board of Directors of the Corporation, each share of capital stock in the Corporation issued and outstanding immediately prior to the Effective Time shall be canceled and extinguished without consideration. The membership interests of the LLC outstanding immediately prior to the Effective Time shall continue to be outstanding and shall not be affected by the Merger.
- 5. If, at any time after the Effective Time, the Surviving Entity shall consider or be advised that any deeds, bills of sale, assignments or assurances or any other acts or things are necessary, desirable or proper (a) to vest, perfect or confirm, of record or otherwise, in the Surviving Entity, its right, title or interest in, to or under any of the rights, privileges, powers, franchises, properties or assets of either of the Constituent Companies, or (b) otherwise to carry out the purposes of this Agreement of Merger, the Surviving Entity and its proper authorized representatives shall be authorized to execute and deliver, in the name and on behalf of either of the Constituent Companies, all such deeds, bills of sale, assignments and assurances and do, in the name and on behalf of each of the Constituent Companies, all such other acts and things necessary, desirable or proper to vest, perfect or confirm its right, title or interest in, to or under any of the rights, privileges, powers, franchises, properties or assets of such constituent Company and otherwise to carry out the purposes of this Agreement of Merger.
- served in the State of California in any proceeding for the enforcement of an obligation of any Constituent Company and in any proceeding to enforce the rights of any holder of a dissenting interest or dissenting shares in a constituent domestic limited liability company or domestic other business entity; (ii) irrevocably appoint the Secretary of State of the State of California as its business entity; (iii) process, which process may be forwarded to 2525 Dupont Drive, Irvine, agent for service of process, which process may be forwarded to 2525 Dupont Drive, Irvine, California 92612; and (iii) promptly pay the holder of any dissenting interest or dissenting share in a constituent domestic limited liability company or domestic other business entity the amount to which that person is entitled under California law.

IN WITNESS WHEREOF, the undersigned have caused this Agreement of Merger to be executed by their respective officers or representatives thereunto duly authorized as of the date first above written.

> ALLERGAN SALES, INC., a California corporation

Jeffrey L. Edwards

Vice President

By:

Assistant Se

ALLERGAN SALES, LLC, a Delaware limited liability company

By:

ALLERGAN, INC., its Sole Member

Name: Matthew J. Maletta

Title: Assistant Secretary

### CERTIFICATE OF APPROVAL AGREEMENT AND PLAN OF MERGER

Jeffrey L. Edwards and Matthew J. Maletta state and certify that:

- They are the Vice President and Assistant Secretary, respectively, of Allergan Sales, Inc., a California corporation.
- The Agreement and Plan of Merger in the form attached was duly approved by the Board of Directors and the sole stockholder of the corporation.
- There is only one class of shares and the total number of outstanding shares is 1,000 shares of Common Stock.
- Approval of the Agreement and Plan of Merger by the holder of 100% of the outstanding shares of Common Stock was the vote required to approve the Agreement and Plan of Merger. The percentage of the outstanding shares of the corporation's shares entitled to vote on the Agreement of Merger which voted to approve the Agreement of Merger equaled the vote required.
- No vote of the stockholders of the corporation's parent, Allergan, Inc., was required to approve the Agreement and Plan of Merger.

We further declare under penalty of perjury under the laws of the State of California that the matters set forth in this certificate are true and correct of our own knowledge.

Date: June 3, 2002

Jeffrey L. Edwards Vice President

Matthew J. Maletta Assistant Secretary



SECRIATE (NEV. 1200)

## State of California Bill Jones Secretary of State

## OTHER BUSINESS ENTITY CERTIFICATE OF MERGER stione Code Sections 1113(g)(1) and (2), 6019,1, 8018,1 and 12540,1)

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## ATTACHMENT PAGE TO OTHER BUSINESS ENTITY CERTIFICATE OF MERGER

18. Signature of Authorized person for the Surviving Entity

Dated: June 3, 2002

ALLERGAN SALES, LLC, a Delaware limited liability company

ALLERGAN, INC.,
a Delaware corporation,
its sole member

By:

Name: Matthew J. Maletta

Title: Assistant Secretary

Signature of Authorized person for the Disappearing Entity

Dated: June 3, 2002

ALLERGAN SALES, INC., a California corporation

Name: Jeffrey L. Edwards

Title: Vice President

Name: Matthew J. Maletta

Title: Assistant Secretary





## The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"ALLERGAN SALES, INC.", A CALIFORNIA CORPORATION,

WITH AND INTO "ALLERGAN SALES, LLC" UNDER THE NAME OF "ALLERGAN SALES, LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE THIRD DAY OF JUNE, A.D. 2002, AT 9 O'CLOCK A.M.



AUTHENTICATION: 1809761

DATE: 06-03-02

# CERTIFICATE OF MERGER OF ALLERGAN SALES, INC. (a California corporation) WITH AND INTO ALLERGAN SALES, LLC (a Delaware limited liability company)

## (Pursuant to Section 18-209 of the Delaware Limited Liability Company Act)

Pursuant to the provisions of Section 18-209 of the Delaware Limited Liability Company Act ("DLLCA"), the undersigned surviving limited liability company submits the following Certificate of Merger for filing and certifies that:

FIRST: The name and jurisdiction of formation or incorporation of the limited liability company and corporation which are parties to the merger (the "constituent entities") are as follows:

Name of Entity

State of Formation or Incorporation

Allergan Sales, Inc.

California

Allergan Sales, LLC

Delaware

SECOND: An Agreement and Plan of Merger (the "Merger Agreement") between the constituent entities has been approved and executed by each of the constituent entities which are to merge in accordance with the requirements of Section 18-209 of the DLLCA.

THIRD: The name of the surviving limited liability company is: Allergan Sales, LLC (the "Surviving Entity").

FOURTH: The merger shall become effective upon filing of this Certificate of Merger.

FIFTH: The executed Merger Agreement is on file at the office of the Surviving Entity, the address of which is 2525 Dupont Drive, Irvine, California 92612.

SIXTH: A copy of the Merger Agreement will be furnished by the Surviving Entity, on request and without cost, to any member of the Surviving Entity or to any person holding an interest in the entity which is to merge with and into the Surviving Entity.

STATE OF DELAWARE SECRETARY OF STATE DIVISION OF CORPORATIONS FILED 09:00 AM 06/03/2002 020354968 - 3496059 IN WITNESS WHEREOF, this Certificate of Merger has been duly executed as of the 3rd day of June, 2002, and is being filed in accordance with Section 18-209 of the DLLCA by a duly authorized person on behalf of Allergan Sales, LLC.

ALLERGAN SALES, LLC, a Delaware limited liability company

ALLERGAN, INC.,

a Delaware corporation.

its sole member

Name: Matthew L Maletta

Title: Assistant Secretary